

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
YOR920030304US2

In Re Application Of: **Elbert Huang, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
10/699,238	October 31, 2003	Unassigned	Unassigned

Title: **POLYCARBOSILANE BURIED ETCH STOPS IN INTERCONNECT STRUCTURES**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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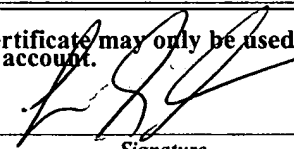
POLYCARBOSILANE BURIED ETCH STOPS IN INTERCONNECT STRUCTURES

Payment of Fee

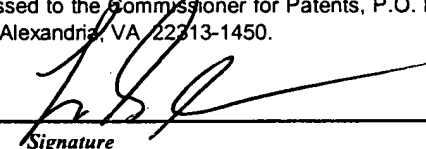
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- ☐ A check in the amount of _____ is attached.
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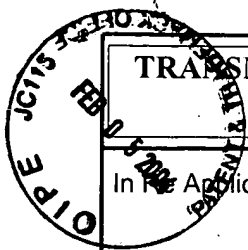
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I certify that this document and fee is being deposited on February 3, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
_____ Signature	
_____ Leslie S. Szivos, Ph.D.	
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Dated: **February 3, 2004**

CC:



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Signature

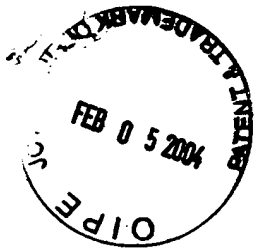
Leslie S. Szivos, Ph.D.

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

Dated: **February 3, 2004**

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Elbert Huang, et al. **Examiner:** Unassigned
Serial No: 10/699,238 **Art Unit:** Unassigned
Filed: October 31, 2003 **Docket:** YOR920030304US2(16973)
For: POLYCARBOSILANE **Dated:** February 3, 2004
BURIED ETCH STOPS IN
INTERCONNECT STRUCTURES

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 23313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,602,060, issued February 11, 1997 to Kobayashi, et al.
2. U.S. Patent No. 6,395,649B1, issued May 28, 2002 to Wu
3. U.S. Patent No. 6,162,743, issued December 19, 2000 to Chu, et al.
4. U.S. Patent No. 5,789,325, issued August 4, 1998, to Chandra, et al.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on February 3, 2004.

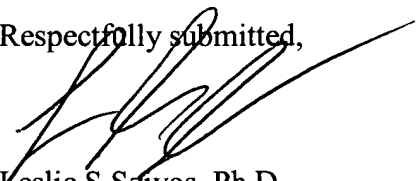
Dated: February 3, 2004


Leslie S. Szivos, Ph.D.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

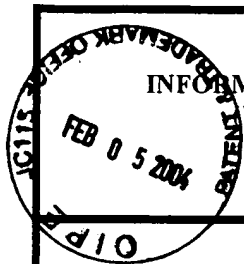
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Leslie S Szivos, Ph.D.
Registration No.: 39,394

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

**INFORMATION DISCLOSURE CITATION**

Use several sheets if necessary)

Docket Number (Optional)

YOR920030304US2(16973)

Application Number

10/699,238

Applicant(s)

Elbert Huang, et al.

Filing Date

October 31, 2003

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,602,060	2/11/97	Kobayashi, et al			
		6,395,649B1	5/28/02	Wu			
		6,162,743	12/19/00	Chu, et al.			
		5,789,325	8/4/98	Chandra, et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.